

Page 1 of 1 OFIGINALLY FILED OF PAPERS. COMMISSIONER FOR PATENTS ATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/079,054

02/18/2002

Stephen T. Staphanos

R22.12-0028

**CONFIRMATION NO. 5125** 

**FORMALITIES LETTER** 

WESTMAN, CHAMPLIN & KELLY SUITE 1600-INTERNATIONAL CENTRE 900 SECOND AVENUE SOUTH

**MINNEAPOLIS, MN 55402-3319** 

\*OC000000007761840\*

Date Mailed: 04/02/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$372.
  - \$288 for 16 total claims over 20.
  - \$84 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 1242.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

3585 8888

05/21/2002 HMARZII 00006013 10075054







#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor : Stephen T. Staphanos et al.

Appln. No.: 10/079,054

Filed

: February 18, 2002

For

: IMPROVED GENERATOR MONITORING,

CONTROL AND EFFICIENCY

Docket No.: R22.12-0028

Group Art Unit:3744

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)

Box Missing Parts Commissioner for Patents Washington, D.C. 20231 I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, THIS

DAY OF May

,20<u>0</u>2

PATENT ATTORNEY

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted dated April 2, 2002, Applicant encloses the following documents to complete the above-identified patent application filed February 18, 2002:

- 1. Our check in the amount of \$1,242.00.
- Combined Declaration and Power of Attorney executed by the inventor(s).
- 3. Fee Calculation Sheet (duplicate).
- 4. Notice to File Missing Parts of Application-Filing Date Granted.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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RIGINALLY FILED

Named

Inventor : Stephen T. Staphanos et al.

Appln. No.: 10/079,054

Filed

February 18, 2002

For

IMPROVED GENERATOR

MONITORING, CONTROL AND

EFFICIENCY

Docket No.: R22.12-0028

Group Art Unit: 3744

Examiner:

### LETTER TO OFFICIAL DRAFTSPERSON

Box Missing Parts Commissioner for Patents Washington, D.C. 20231 I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, THIS

DAY OF M

20<u>つン</u>.

PATENT ATTORNEY

Sir:

Enclosed are **seven** (7) sheets of formal drawings for filing in the above-identified application.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By:

Christopher R. Christenson, Reg. No. 42,413

Suite 1600 - International Centre

900 Second Avenue South

Minneapolis, Minnesota 55402-3319

Phone: (612) 334-3222 Fax: (612) 334-3312

CRC:ajm

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	Complete if Known						
FEE TRANSMITTAL	Applic	cation N	0.		0/079,054 /		
000	Filing	Date		F	ebruary 18, 2002	4	
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MM 2 E					CONTROL AND EFFICIENCY 3744		
MAN 2 0 2002 OFIGURALLY PROBLEMS		Group Art Unit		- 3	3744		
CM18 LOSSES	Exam	Examiner Name					
Total Amount of Payment \$ 1242	Atty. Docket Number R22.12-0028						
METHOD OF PAYMENT (Check One)	FEE CALCULATION (Continued)						
The Director is hereby authorized to charge any additional fee	3. ADDITIONAL FEES						
required under 37 C.F.R. § 1.16 and 1.17, including any petition fee,	Large Entity Small Entity						
and credit any over payments to Deposit Account No. 23-1123.  Westman, Champlin & Kelly, P.A.	Fee	Fee	Fee	Fee	Fee Description Fee		
	Code	(\$)	Code	(\$)	Paid		
2. XX Check Enclosed	105	130	205	65	Surcharge - Late filing fee or oath 130		
FEE CALCULATION	127	50	227	25	Surcharge - Late provisional		
TEL ONLOGENTON					Filing Fee or cover sheet		
1. BASIC FILING FEE	139	130	139	130	Non-English specification		
Large Entity Small Entity	147	2,520	147	2,520	For Filing a Request for Reexamination. (ex parte)		
Fee Fee Fee	115	110	215	55	Extension for reply within first month		
Code (\$) Code (\$) Fee Description	116	400	216	200	Extension for reply within second month		
101 740 201 370 🗵 Utility Filing Fee	117	920	217	460	Extension for reply within third month		
106 , 330 206 165 Design Filing Fee	118	1,440	218	720	Extension for reply within fourth month		
108 740 208 370 ☐Reissue Filing Fee	128	1,960	280	980	Extension for reply within fifth month		
114 160 214 80 Prov. Filing Fee	120	320	220	160	Filing a brief in support of an appeal		
Subtotal (1) \$ 740	121	280	221	140	Request for oral hearing		
2. EXTRA CLAIM FEES	148	110	248	55	Terminal Disclaimer Fee	ŀ	
Number Prior** Extra Fee from Fee Paid Claims Below	140	110	240	55	Petition to Revive - unavoidable	- ]	
Total 36 20 16 18 288	141	1,310	241	670	Petition to Revive - unintentional		
Indep. 4 3 1 84 84	142	1,310	242	670	Utility/Reissue issue fee (inc. advance copies)		
Multiple Dependent Claims	143	490	243	260	Design issue fee (inc. advance copies)		
** Insert 3 and 20, or number previously paid if greater, Reissue see below	122	130	122	130	Petitions to the Commissioner	•	
Large Entity Small Entity  Fee Fee Fee Fee Description  Code (\$) Code (\$)	123	50	123	50	Petitions related to provisional applications		
103 18 203 9 Claims in excess of 20	126	180	126	180	Submission of Information Disclosure		
102 84 202 42 Independent claims in excess of 3		,00		.00	Statement		
104 280 204 140 Multiple Dependent Claims 109 84 209 42 Reissue Independent Claims over	581	40	581	40			
110 18 210 9 Reissue claims in excess of 20 and over original patent	Other F	ee (speci	ify)		property (times number of properties)		

Signature		<u>/_</u>	
	(Christ	pher R.	Christenson )

Subtotal (2) \$ 372

Reg. No. 42,413

Date 5-7-02

Deposit Account No. 23-1123

Subtotal (3) \$130